

LIS - CANA seminar (Marseille)

12.11.2025

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(Brukner's group)

On the emergence of preferred structures in quantum theory

Joint work with Guilherme Franzmann & Andrea Di Biagio
(soon on arXiv)

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- Initial motivations
 - ▶ Hilbert space fundamentalism (HSF) [Carroll & Singh, 2019]

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 - ▶ quantum reference frames [Ali Ahmad *et al.*, 2022]

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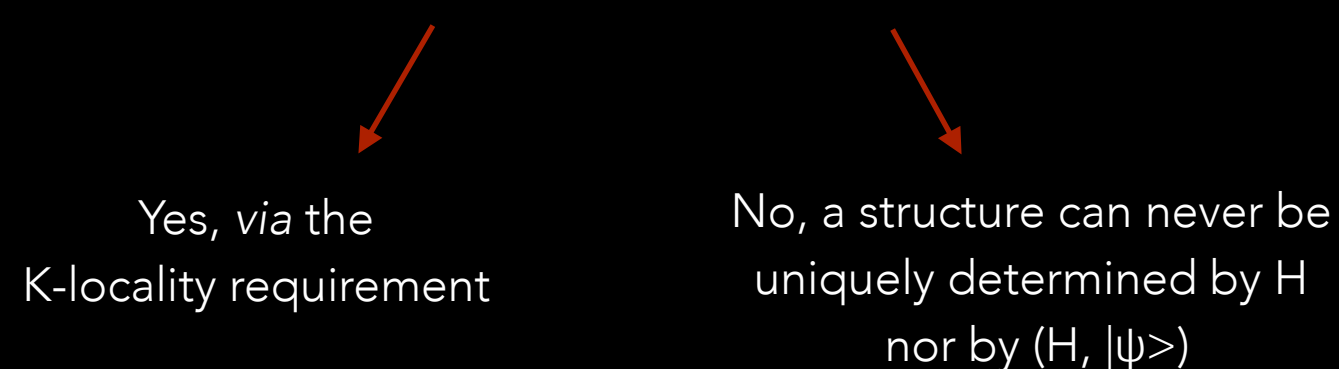


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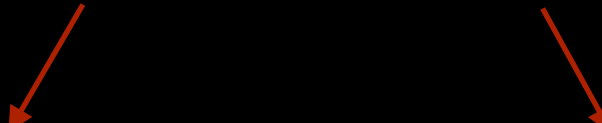
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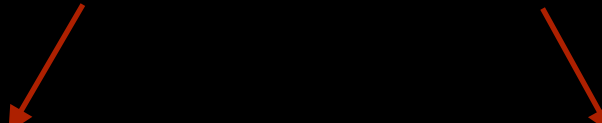
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 - ▶ Cotler *et al.*'s has been misinterpreted
 - ▶ Stoica's is valid only in its weaker version
- Solving the tension has deep implications for **the notion of emergence in QM** (in physics?)

SUMMARY

I. Cotler *et al.*'s theorem

1. K-locality
2. K-duality
3. The theorem

II. Stoica's theorem

1. Core idea
2. K-locality is unitary-invariant
3. H has more symmetries than the TPS
4. The time-evolution symmetry

III. How structures acquire their identity

1. Which notion of uniqueness to keep?
2. Insights from invariant theory
3. Can $(H, |\psi\rangle)$ uniquely determine a TPS?

I. COTLER *ET AL.*'S THEOREM

I.1. K-locality

- In all the talk, we fix integers n and $(d_i)_{1 \leq i \leq n}$ with $d_i \geq 2$ and a Hilbert space \mathcal{H} of finite dimension $\prod_i d_i$.

Definition (Tensor product structure). *A TPS of \mathcal{H} is an equivalence class of isomorphisms $T : \mathcal{H} \rightarrow \bigotimes_{i=1}^n \mathcal{H}_i$ that factorize \mathcal{H} into n factors \mathcal{H}_i of respective dimensions d_i , where two isomorphisms T_1 and T_2 are said to be equivalent (denoted $T_1 \sim T_2$) if $T_1 T_2^{-1}$ is a product of local unitaries $U_1 \otimes \cdots \otimes U_n$ and arbitrary permutations of the factors.*

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- \mathcal{H} can be decomposed in a TPS:

Given, for each i , a choice $(O_i^\alpha)_{0 \leq \alpha \leq d_i^2-1}$ of an orthonormal basis for $\mathcal{L}(\mathcal{H}_i)$ with $O_i^0 = \mathbb{1}$, any Hermitian operator \hat{H} can be uniquely decomposed as:

$$\hat{H} = a_0 \mathbb{1} + \sum_{i=1}^n \sum_{\alpha \neq 0} a_i^\alpha O_i^\alpha + \sum_{1 \leq i < j \leq n} \sum_{\alpha, \beta \neq 0} a_{ij}^{\alpha\beta} O_i^\alpha O_j^\beta + \sum_{1 \leq i < j < k \leq n} \sum_{\alpha, \beta, \gamma \neq 0} a_{ijk}^{\alpha\beta\gamma} O_i^\alpha O_j^\beta O_k^\gamma + \dots, \quad (1)$$

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- Hence the following notion:

Definition (K -locality). Let \mathcal{T} be a TPS of \mathcal{H} into $\bigotimes_{i=1}^n \mathcal{H}_i$. For $K \in \{1, \dots, n\}$, we say that the pair (\hat{H}, \mathcal{T}) is K -local if there exists a choice of orthonormal bases $(O_i^\alpha)_{i,\alpha}$ for which the above decomposition (1) involves only products of at most K non-trivial operators.

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 - ▶ conversely, a QFT is always spacetime local, no matter K
- NB: most Hamiltonian are approx. 2-local in some TPS [Loizeau *et al.*, 2023]

I.2. K-duality

- For Cotler et al., uniqueness of structures is understood **up to a global unitary**

Definition (Global unitary equivalence). *Two pairs (\hat{H}, \mathcal{T}) and (\hat{H}', \mathcal{T}') are said equivalent if there exists a unitary $U \in \mathcal{U}(\mathcal{H})$ such that $(\hat{H}', \mathcal{T}') = U \cdot (\hat{H}, \mathcal{T})$.*

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Definition (K -duality). *We say that two TPSs are K -duals if they are not equivalent with respect to \hat{H} but \hat{H} is K -local in both TPSs.*

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- Example of dual TPSs:** Jordan-Wigner transform on the 1D Ising model

$$\begin{aligned}\mu_z^{(i)} &= \prod_{j \leq i} \sigma_x^{(j)} \\ \mu_x^{(i)} &= \sigma_z^{(i)} \sigma_z^{(i+1)} \\ \mu_x^{(n)} &= \mu_z^{(n)}\end{aligned}$$


induces

$$\begin{aligned}\hat{H} = \hat{H} &= J \sum_i \sigma_z^{(i)} \sigma_z^{(i+1)} + h \sum_i \sigma_x^{(i)} \\ &\downarrow \\ \hat{H} &= J \sum_i \mu_x^{(i)} + h \sum_i \mu_z^{(i)} \mu_z^{(i+1)} - J \mu_x^{(n)} + h \mu_z^{(1)}\end{aligned}$$

I.3. The theorem

- After a terribly intricate proof:

Theorem (Cotler *et al.*). Assume $d_1 = \dots = d_n \equiv d$, and suppose the existence of a single Hamiltonian on \mathcal{H} admitting a TPS $\mathcal{H} = \bigotimes_{i=1}^n \mathcal{H}_i$ that makes it K -local but without any K -duals. Then, if K is sufficiently small, almost all K -local Hamiltonians on \mathcal{H} do not have K -dual TPSs.

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- Numerical simulations to get convinced of the validity of the assumption.

II. STOICA'S THEOREM

II.1. Core idea


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- Directly follows from

Lemma 1. *Let $U \in \mathcal{U}(\mathcal{H})$. The pair (\hat{H}, \mathcal{T}) is K -local if and only if the pair $U \cdot (\hat{H}, \mathcal{T}) \equiv (U\hat{H}U^\dagger, U \cdot \mathcal{T})$ is K -local.*

Lemma 2. *For any K -local pair (\hat{H}, \mathcal{T}) , there exists at least one unitary U such that $U\hat{H}U^\dagger = \hat{H}$ but $U \cdot \mathcal{T} \neq \mathcal{T}$.*

II.2. K-locality is unitary-invariant

- Recall decomposition (1)

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- UHU^\dagger decomposed in $U \cdot \mathcal{T}$ for the bases $(O_i^\alpha)_{i,\alpha}$ has the same decomposition as H decomposed in \mathcal{T} for the bases $(U O_i^\alpha U^\dagger)_{i,\alpha}$

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 - strictly smaller dimension for n large enough
 - most symmetries of H are not symmetries of \mathcal{T}
- General proof (for any n): look at the commutative subgroups

II.4. The time-evolution symmetry

- We already know a symmetry that twists the TPS: **the time evolution** (Heisenberg picture)

Proposition. *The time evolution unitaries $(e^{-it\hat{H}})_{t \in \mathbb{R}}$ are all symmetries of \mathcal{T} if and only if \hat{H} is 1-local with respect to \mathcal{T} .*

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- In fact, the time evolution generates **a continuous infinity of distinct TPSs**

Proposition. *Suppose \hat{H} is not 1-local with respect to some TPS \mathcal{T}_0 . Then, the orbit $(e^{-it\hat{H}})_{t \in \mathbb{R}} \cdot \mathcal{T}_0$ under time-evolution is uncountable.*

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III. HOW STRUCTURES ACQUIRE THEIR IDENTITY

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the relevant notion of uniqueness in physics is relational

NB: also in maths!

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Proposition. Let $(\Pi_i^{\hat{H}})_{1 \leq i \leq n}$ denote the eigenprojectors of \hat{H} and $\Lambda = (\lambda_i)_{1 \leq i \leq n}$ a family of non-negative real numbers such that $\sum_i \lambda_i^2 = 1$. The set:

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- In fact, the unitary group acts **transitively and freely** on this kind.

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- We can now formalize the intuition of III.1.

Definition (Relational uniqueness). Let \mathcal{K}_0 and \mathcal{K}_e be two determined kinds, and P a unitary-invariant property on the kind $\mathcal{K}_0 \times \mathcal{K}_e$. We say that P *determines* the product kind $\mathcal{K}_0 \times \mathcal{K}_e$ if $\{(\mathcal{S}_0, \mathcal{S}_e) \in \mathcal{K}_0 \times \mathcal{K}_e \mid P(\mathcal{S}_0, \mathcal{S}_e)\}$ is non-empty and if it is a determined kind, said differently:

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- ➔ finding such a P **uniquely characterizes an emergent structure** up to global unitary equivalence

- Examples
 - ▶ specification of a **complete set of invariants** (recall $\mathcal{K}_{\text{N-vector}(G)}$ and $\mathcal{K}_{\text{HSF}(\sigma, \Lambda)}$)
 - ▶ K-locality property on $\mathcal{K}_{\text{Herm}(\sigma)} \times \mathcal{K}_{\text{TPS}(n; d_1 \dots d_n)}$ ← Cotler et al.'s theorem!
 - ▶ recall the proof of II.4. (uncountable infinity of TPSs)

III.2. Insights from invariants theory

- Key result

Proposition. *Let \mathcal{K}_0 and \mathcal{K}_e be two determined kinds, and $\mathcal{S}_0 \in \mathcal{K}_0$. The following are equivalent:*

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- 2. the set $\{\mathcal{S}_e \mid P(\mathcal{S}_0, \mathcal{S}_e)\}$ is the orbit of a single element under the action of $\text{Stab}(\mathcal{S}_0)$ on \mathcal{K}_e .*

In this case, we have: $P(\mathcal{S}_0, \mathcal{S}_e)$ and $P(\mathcal{S}_0, \mathcal{S}'_e) \Rightarrow \exists U \in \text{Stab}(\mathcal{S}_0) : \mathcal{S}'_e = U \cdot \mathcal{S}_e$.

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Corollary. *If $\mathcal{U}(\mathcal{H})$ acts freely on \mathcal{K}_0 , a property P determines the product kind $\mathcal{K}_0 \times \mathcal{K}_e$ if and only if for all $\mathcal{S}_0 \in \mathcal{K}_0$, there exists a unique $\mathcal{S}_e \in \mathcal{K}_e$ such that $P(\mathcal{S}_0, \mathcal{S}_e)$ holds.*



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➔ **applicable in the case of HSF** since $\text{Stab}(\mathcal{H}, |\psi\rangle) = \{1\}$

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- **Stoica's strongest claim** ('no unitary-invariant property on $(H, |\psi\rangle)$ can uniquely determine a structure') **is not correct**, because it treats H absolutely but $|\psi\rangle$ relationally

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Theorem. *There exists a unitary-invariant property P that determines the product kind $\mathcal{K}_{\text{HSF}(\sigma, \Lambda)} \times \mathcal{K}_{\text{TPS}(n; d_1, \dots, d_n)}$, if the spectrum σ is non-degenerate and all projections $\lambda_i \in \Lambda$ are non-zero.*

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 6. Conclude by the following lemma:

Lemma. *An operator $A \in \mathcal{L}(\mathcal{H}_1 \otimes \cdots \otimes \mathcal{H}_n)$ is a product of single-site operators $A_1 \otimes \cdots \otimes A_n$ if and only if A maps pure tensors to pure tensors.*

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- Selecting an emergent structure *via* a physical principle has proved successful.
Examples: Einstein's tensor [Lovelock 1971], quantum fields, Schrödinger equation, bosonic and fermionic statistics [Mekonnen *et al.*, 2025]...

F. Klein, "Vergleichende Betrachtungen über neuere geometrische Forschungen", *Mathematische Annalen* (1893)

D. Lovelock, "The Einstein tensor and its generalizations", *Journal of Mathematical Physics* (1971)

M. Mekonnen, T. D. Galley, and M. P. Müller, "Invariance under quantum permutations rules out parastatistics", *arXiv preprint* (2025)

Thank you for your attention!

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